

# Alabama State University



## **DRUG AND ALCOHOL ABUSE PREVENTION PROGRAM (DAAPP)**

**2024 Biennial Review**

**Academic Years  
2021-2022 and 2022-2023**

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## INTRODUCTION

### **About Alabama State University**

Alabama State University (ASU) is a public historically black college and university (HBCU) located in Montgomery, Alabama. Founded in 1867, during the Reconstruction Era, it was one of about 180 “Normal Schools” established by state governments in the 19<sup>th</sup> century to train teachers for the rapidly growing public common schools. It was one of 23 established to train African Americans to teach in segregated schools.

Alabama State University’s 157-year history is a legacy of perseverance, progress, and promise. The ASU movement began with the impetus to establish a school for black Alabamians. The Civil War resulted in not only the end of slavery but also in the opportunity for blacks to have the right to education. With the Northern victory, black Southerners, with the assistance of Northern white missionaries black Southerners, with the assistance of Northern white missionaries and the leaders of African-American churches, set out to establish educational institutions for the freedmen. ASU was born in that movement.

It is because of the fortitude of the nine free slaves from Marion, Alabama who sought to build a school for African-Americans previously denied the right to an education that makes ASU the global entity it is today. The foresight of these men, now remembered as the “Marion Nine,” created what is now known as Alabama State University.

The Marion Nine included Joey P. Pinch, Thomas Speed, Nicholas Dale, James Childs, Thomas Lee, John Freeman, Nathan Levert, David Harris, and Alexander H. Curtis. These co-founders and original trustees, with assistance from Marion community members, raised \$500 for land, and on July 18, 1867, filed incorporation papers to establish the Lincoln Normal School at Marion.

The Lincoln School opened its doors on November 13, 1867, with 113 students. In 1873, this predecessor of Alabama State University became the nation’s first state-sponsored liberal arts institution for the higher education of blacks, beginning ASU’s rich history as a “Teacher’s College.”

### **About ASU Alcohol and Drugs Policy**

Alabama State University requires all students, faculty, and staff to adhere to the established federal, state, and local laws and regulations regarding alcohol and other drugs (AOD).

The [ASU Human Resources Policy and Procedure Manual](#) (pg. 63) and [The Student Handbook – “The Pilot”](#) (pg. 47) includes the following Alcohol and Drug Abuse Policy:

“Under any circumstances comes to work under the influence of drugs/alcohol and to ensure all employees abide by the laws pertaining to alcohol and drug use while at work. No person may consume or be under the influence of alcohol while attending classes, at any official meetings, or while fulfilling employment responsibilities. Employees must conduct themselves in a responsible and professional manner at all times.

**Alcohol Policy** – Alcoholic beverages may not be sold or consumed on property owned or controlled by Alabama State University except where permitted by the University in compliance with applicable laws.

**Drug Policy** – The possession, use, sale, manufacture, transfer or cultivation of any types of controlled substances (to include, but not limited to barbiturates, opiates, marijuana, amphetamines, or hallucinogens) or aiding in the use of such, violates the laws of the State of Alabama.

Alabama State University (ASU) is dedicated to safeguarding the safety, health, and welfare of its faculty, students, and visitors across its premises and facilities. Recognizing the serious threat posed by drug and alcohol abuse, ASU upholds a steadfast dedication to fostering a drug-free environment and smoke-free environment conducive to learning and working for all its constituents.

Information is provided annually to all students and employees of Alabama State University in compliance with our policies and the Drug-Free Workplace Act of 1988 (DFWA) and the Drug-Free Schools and Communities Act Amendments of 1989 (DFSCA).

### **DRUG AND ALCOHOL ABUSE PREVENTION PROGRAM** **(DAAPP)**

The University's [Drug and Alcohol Abuse Prevention Program](#) (DAAPP) is housed under the Division of Student Affairs and Enrollment Management. The units on the campus that work together to implement this program are Student Life, Counseling Services, Health Center, and Public Safety.

ASU is committed to:

1. Establish and consistently uphold clear policies that advocate for an educational setting free from drug and alcohol abuse.
2. Continuously educate the campus community to prevent instances of drug and alcohol abuse.
3. Provide care for individuals struggling with drug and alcohol dependency through counseling, treatment, and referrals.
4. Organize campus initiatives that bolster health, responsible conduct, adherence to community and campus norms, personal accountability, and holistic well-being encompassing intellectual, social, emotional, spiritual, ethical, and physical facets.
5. Take a vocal and proactive stance against the adverse effects of drug and alcohol consumption and abuse within the campus environment.

In alignment with its commitment to delivering exceptional service to its stakeholders, ASU has instituted a comprehensive Drug and Alcohol Abuse Prevention Program (DAAPP) accessible to all members of the campus community. The University is dedicated to disseminating awareness regarding drug and alcohol abuse among students, faculty, and staff.

## OBJECTIVES OF THE BIENNIAL REVIEW

The DFWA and the DFSCA, as well as Part 86 of the Drug and Alcohol Abuse Prevention Regulations, require ASU to develop a drug and alcohol prevention program for its students, faculty, and staff in accordance with the Education Department General Administrative Regulations [EDGAR]). The DFSCA requires that, as a condition of receiving funds or any other form of financial assistance under any federal program, institutions of higher education must certify that they have adopted and implemented a program to prevent students and employees from unlawfully possessing, using or distributing illicit drugs and alcohol on school premises or in their activities.

**Objective:** The University documents its efforts to comply with the Code of Federal Regulations, 34 CFR Part 86, The Drug-Free Schools and Communities Act.

## BIENNIAL REVIEW PROCESS

In this report, self-reported efforts from various departments have been compiled. Departments were asked to provide updates, describe new or revised policies and programs since the previous review, and make recommendations for the future development of programming and services. In selecting the participating departments, consideration was given to the departments' roles within the university community and their interactions with students and employees.

The ASU 2024 Biennial Review is a summary of data for the 2021-2022 and 2022-2023 academic years. This Biennial Review includes the following:

- Identification of university programs and services related to alcohol and drugs;
- Resource identification and intervention assistance;
- A review of all alcohol and drug cases adjudicated by the university, including sanctions for those violations;
- Identification of methods for educating and enforcing university policies on alcohol and drugs;
- A review of the university's alcohol and drug policies, procedures, programming, and intervention methods; and
- Recommendations to improve alcohol and drug-related policies, procedures, programs, and overall coordination at the university.

Alabama State University is committed to providing a drug and alcohol-free environment for its students, faculty, and staff. ASU has established the Drug and Alcohol Abuse Prevention Program (DAAPP) in accordance with System Policy 34.02 Drug and Alcohol Abuse and System Regulation 34.02.01 Drug and Alcohol Abuse and Rehabilitation Programs. Alcohol abuse and misuse, illegal drug use, sale, and possession, as well as misuse of over-the-counter and prescription drugs are addressed by this program.

## UNIVERSITY ALCOHOL AND DRUG PROGRAMS AND SERVICES

To promote a healthy environment for the university community and enforce regulations for the prevention of alcohol and drug abuse, the following departments have collaborated in a cooperative effort:

- [Office of Human Resources](#)
- [Health Center](#)
- [Counseling Services Center](#)
- [Office of Student Life](#)
- [Office of Residential Life and Housing](#)
- [Office of Judicial Affairs](#)
- [Athletics Department](#)
- [Office of Public Safety](#)
- [Office of Financial Aid](#)

Alabama State University has several AOD programs, services, and outreach efforts in place to address alcohol and drug issues for students, faculty, and staff. In addition, these programs are available at no charge. The overall mission is to provide the campus population with counseling services, rehabilitation, and substance abuse awareness and education. Educational information is disseminated through workshops, in-person and online training seminars, presentations to classes and student groups, information tables, and special educational promotions throughout the year. This section identifies the various departments and provides a detailed description of the programs offered by each.

### **Office of Human Resources**

The Office of Human Resources is committed to providing employees with information and resources needed to be successful in their jobs. Alabama State University is concerned about the health and welfare of its faculty and staff.

### **Life Assistance Program (LAP):**

Alabama State University's [Life Assistance Program](#) is the confidential Employee Assistance Program (EAP). LAP provides support and guidance for matters that range from personal issues one might be facing to providing information on everyday topics that affect your life (i.e., Anxiety, Depression, Divorce, Separation, Drugs, Alcohol, etc.).

### **Health Center**

The ASU Health Center provides students with education programs to promote wellness, prevent illness, and maintain health. The Health Center provides students and employees medical evaluation to include matters for alcohol and other drug concerns, treatment and/or referral for intensive inpatient or outpatient services when indicated.

### **The Counseling Center**

The Counseling Center provides assessment, education and first-level intervention (individual & group counseling) for students with alcohol and other drug concerns. Referrals are provided for intensive inpatient or outpatient services when indicated. Educational information on alcohol and other drugs is available through the ASU Counseling Center. Staff is available to provide campus-wide educational programs upon request.

### **Office of Student Life**

The Office of Student Life (OSL) serves as a resource for all students while guiding and promoting unwavering student engagement, encouraging a sense of community, and providing opportunities for student growth and leadership development. OSL aspires to create an inclusive environment that celebrates diversity and enhances our student's ability to become global citizens.

### **Office of Residential Life and Housing**

In an effort to provide information and education, Residence Life and Housing works closely with the Division of Student Affairs and Enrollment Management, Student Health Services, Student Counseling Services, Office of Student Life, and the Office of Public Safety to offer multiple programming outlets and provide opportunities for both group and individual learning.

### **Office of Judicial Affairs**

The Office of Student Conduct imposes sanctions on students who have violated the Student Code of Conduct regarding drugs and alcohol. These sanctions may also require students to complete a drug and/or alcohol education program with Student Counseling Services.

### **Athletics Department**

ASU's Athletics Department encourages student-athletes to lead healthy and responsible lives during their years of eligibility for long-term enrichment and enhancement of their lives. Additionally, the department has a policy that emphasizes a student-athlete's role as a university representative. The department has an annual substance abuse program that provides education about mood- and body-altering substances, drug testing, evaluation and assessment, and AOD treatment and counseling. Student-athletes are also educated about discipline and the consequences of violating federal and state laws, system policies and regulations, or university rules and procedures. Before participating in any ASU athletic program, student-athletes must complete and return a drug testing consent form.

### **Department of Public Safety**

The DPS also tracks alcohol and drug violations on and around campus. During New Student Orientation, DPS officers conduct drug and alcohol awareness sessions. Additionally, DPS officers provide program facilitation on crime prevention/safety, Alabama laws, and institutional rules and regulations upon request by campus organizations, clubs, and professional organizations. The aim is to make students aware of the potential consequences of alcohol and other drug possession or abuse.

### **Office of Financial Aid**

Financial Aid assists the university in preventing drug abuse and educating students. In the Free

Application for Federal Student Aid (FAFSA), a question asks:

“Have you ever been convicted for possession or sale of illegal drugs while receiving federal student aid (such as grants, loans, or work-study)?”

Students self-certify this question. When a student admits a conviction, the Department of Education (DOE) notifies the Office of Financial Aid. It is up to the Office of Financial Aid to determine if the conviction impacts eligibility. Student financial aid cannot be awarded or disbursed until the DOE confirms eligibility. According to the DOE, a student convicted of drug use must complete two unannounced drug tests.

## **PROCEDURES FOR DISTRIBUTING WRITTEN ALCOHOL AND DRUG ANNUAL NOTIFICATION**

### **Faculty and Staff Notifications**

Each year, all faculty and staff are notified of AOD through the Faculty and Staff Institute. Faculty and staff are informed about ASU's Drug and Alcohol Policy and Drug and Alcohol Abuse Prevention Program, which includes information about university standards of conduct, health risks, and sanctions for engaging in illegal substance abuse on campus and at campus-sponsored events. Also, during New Employee Orientation, faculty and staff receive links to system policies and regulations, university rules and administrative procedures, and any additional information or resources available to assist them with their needs.

### **Student Notifications**

Annual AOD notifications are distributed to all students each semester through New Student Orientation, Orientation Courses, and other programs. ASU's Drug and Alcohol Policy and Drug and Alcohol Abuse Prevention Program are provided to students, along with information about the university's standards of conduct, health risks, and sanctions for engaging in illegal substance abuse on campus or at campus-sponsored activities. Further, students are provided with links to system policies and regulations, university rules and administrative procedures, as well as additional information or resources available in support of their needs.

## **VIOLATIONS, SANCTIONS, AND ENFORCEMENT**

Students or employees found in violation of the ASU alcohol or other drug policies and procedures are subject to sanctions. Students and employees who violate university or system requirements are subject to sanctions commensurate with their offenses and any aggravating or mitigating circumstances.

Students who violate university rules regarding drugs may be suspended, dismissed, or expelled. A case involving an employee will result in disciplinary sanctions, including termination. Each case will be treated differently depending on its nature and severity.

Any violation of state or federal law regarding controlled substances that occurs off campus and is not related to a university-related activity may also result in disciplinary actions. Discipline proceedings will be conducted in accordance with the student handbook, university rules, and/or



administrative procedures. The university may take disciplinary action before and in addition to any penalties imposed by authorities off campus.

**Statistic on Student Drug and Alcohol Infractions**

Using ASU's [Annual Security Report and Annual Fire Safety Report](#), Table 1 shows how many alcohol and drug related law violations occurred at ASU between 2018 and 2022.

<b>ALABAMA STATE UNIVERSITY DRUG AND ALCOHOL RELATED LAW INFRACTIONS 2018 - 2022</b>						
<b><u>LAW INFRACTION</u></b>	<b><u>2018</u></b>	<b><u>2019</u></b>	<b><u>2020</u></b>	<b><u>2021</u></b>	<b><u>2022</u></b>	<b><u>Total</u></b>
<b>Arrest: On-Campus</b>						
Drug Abuse Violations	12	12	5	0	11	40
Liquor Law Violations	0	0	0	0	0	0
<b>Arrests: On-Campus Student Housing Facilities</b>						
Drug Abuse Violations	3	2	3	3	6	17
Liquor Law Violations	0	0	0	0	0	0
<b>Arrests: Non-Campus</b>						
Drug Abuse Violations	1	0	0	0	0	1
Liquor Law Violations	0	0	0	0	0	0
<b>Arrests: Public Property</b>						
Drug Abuse Violations	0	0	0	0	0	0
Liquor Law Violations	0	0	0	0	0	0
<b>Disciplinary Actions: On-Campus</b>						
Drug Abuse Violations	3	0	0	0	0	3
Liquor Law Violations	0	0	0	0	0	0
<b>Disciplinary Actions: On-Campus Student Housing Facilities</b>						
Drug Abuse Violations	0	0	0	0	6	6
Liquor Law Violations	0	0	0	0	0	0
<b>Disciplinary Actions: Non-Campus</b>						
Drug Abuse Violations	0	0	0	0	0	0
Liquor Law Violations	0	0	0	0	0	0
<b>Disciplinary Actions: Public Property</b>						
Drug Abuse Violations	0	0	0	0	0	0
Liquor Law Violations	0	0	0	0	0	0

The Office of Judicial Affairs is responsible for administering the university’s student disciplinary process. The Office of Judicial Affairs utilizes [Maxient](#), a centralized reporting and record maintenance program for logging student conduct-related offenses and sanctions. Students found in violation of the university’s regulations pertaining to alcohol and drugs may be assessed a variety of sanctions and are sometimes referred to Student Counseling Services as part of the disciplinary referral process. The following tables illustrate the totals for alcohol and drug violations and the sanctions that were assessed for the 2021-2022 and 2022-2023 academic years.

**ASU DAAPP BIENNIAL REVIEW**

Please note that some students may have been found responsible for both alcohol and drug violations in the same incident, which would be reflected in all tables for the respective academic year.

During the 2021-2022 academic year (Table 1), there were a total of 9 alcohol and 49 drug infractions processed by the Office of Judicial Affairs.

ALABAMA STATE UNIVERSITY RESPONSIBLE/NOT RESPONSIBLE 2021 - 2022				
DRUG INFRACTION	Total Number of Students		ALCOHOL	Total Number of Students
Responsible	26		Responsible	5
Not Responsible	23		Not Responsible	4
<b>TOTAL</b>	<b>49</b>		<b>TOTAL</b>	<b>9</b>

**Table 1: Shows the number of students responsible versus not responsible for Drug Infractions or Alcohol during the 2021 – 2022 academic year.**

During the 2022-2023 academic year (Table 2), there were a total of 3 alcohol and 36 drug infractions processed by the Office of Judicial Affairs.

ALABAMA STATE UNIVERSITY RESPONSIBLE/NOT RESPONSIBLE 2022 - 2023				
DRUG INFRACTION	Total Number of Students		ALCOHOL	Total Number of Students
Responsible	29		Responsible	0
Not Responsible	7		Not Responsible	3
<b>TOTAL</b>	<b>36</b>		<b>TOTAL</b>	<b>3</b>

**Table 2: Shows the number of students responsible versus not responsible for Drug Infractions or Alcohol during the 2022 – 2023 academic year.**

**ASU DAAPP BIENNIAL REVIEW**

During the 2021-2022 academic year (Table 3), the main sanctions administered by the Office of Judicial Affairs for drug infractions were disciplinary probation (27) and residence hall suspension (10). The main sanction administered for alcohol infractions was disciplinary probation (4).

ALABAMA STATE UNIVERSITY STUDENT SANCTIONS/ACTIONS 2021 - 2022				
DRUG INFRACTION	Total Number of Students		ALCOHOL	Total Number of Students
Counseling Assessment	0		Counseling Assessment	0
Written Reprimand	0		Written Reprimand	0
Disciplinary Probation	27		Disciplinary Probation	4
University Suspension	5		University Suspension	1
Residence Hall Suspension	10		Residence Hall Suspension	0
Expulsion	5		Expulsion	0
Drug/Alcohol Intervention	0		Drug/Alcohol Intervention	0
Arrest	8		Arrest	0

**Table 3: Shows the sanctions given for drug and alcohol infractions during the 2021 – 2022 academic year.**

During the 2021-2022 academic year (Table 4), the main sanctions administered by the Office of Judicial Affairs for drug infractions were disciplinary probation (13), written reprimand (5), and arrest (5). The main sanction administered for alcohol infractions was disciplinary probation (3).

ALABAMA STATE UNIVERSITY STUDENT SANCTIONS/ACTIONS 2022 - 2023				
DRUG INFRACTION	Total Number of Students		ALCOHOL	Total Number of Students
Counseling Assessment	1		Counseling Assessment	0
Written Reprimand	5		Written Reprimand	0
Disciplinary Probation	13		Disciplinary Probation	3
University Suspension	3		University Suspension	0
Residence Hall Suspension	4		Residence Hall Suspension	0
Expulsion	2		Expulsion	0
Drug/Alcohol Intervention	1		Drug/Alcohol Intervention	0
Arrest	5		Arrest	0

**Table 4: Shows the sanctions given for drug and alcohol infractions during the 2022 – 2023 academic year.**

Based on our review of the data from the academic years of 2021-2022 and 2022-2023, the Drug and Alcohol Abuse Prevention Program at Alabama State University is effective for it has resulted in a decrease in the overall number of incidences for both drug and alcohol.

### **2024 Biennial Review Recommendations**

Based on the review of the DAAPP in place at ASU during academic years 2021-2022 and 2022-2023, we recommend the following:

1. Goals, objectives, and activities related to the university's DAAPP should be identified and defined clearly.
2. A standard assessment instrument should be used to evaluate DAAPP.
3. Ensure that all relevant parties are informed of incidents through monthly safety committee meetings.
4. Improve reporting protocol training for all reporting parties.
5. Judicial Affairs should assess the overall effectiveness of Maxient Student Conduct Management.
6. Consider appointing a dedicated staff member to coordinate campus-wide DAAPP implementation and assessment efforts.